

SUPER SIRES, LLC

BY HORSEMEN - FOR HORSEMEN

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Muncie, IN 47302

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POLICIES AND PROCEDURES

Super Sires Incorporated
12601 S County Road 200 W
Muncie, IN 47302

**Super Sires Incorporated is an organization created by horsemen for horsemen.
(Hereafter referred to as "Super Sires".)**

The mission of Super Sires is to educate and promote breeding of quality show horse bloodlines. Super Sires shall showcase offspring in events featuring significant cash awards and prizes that provide opportunities to all levels of participants, focusing on Non Pro exhibitors.

Please reference Super Sires Bylaws for information regarding formation, governance and other structural details.

STALLION ENROLLMENT FEES AND REQUIREMENTS

1. Stallion Enrollment Fees
 - a. Living Stallions
 - i. Stallion owner shall pay \$3,000 Living Stallion Enrollment Fee per year.
 1. A percentage of this Enrollment Fee will be used for promotional expenses.
 - ii. Stallion owner shall pay \$500 Administrative Fee per year.
 - iii. Stallion owner shall donate one (1) stallion breeding per year to Super Sires. *See Stallion Service Section for more information.*
 - b. Deceased Stallions
 - i. Stallion owner shall pay \$500 Deceased Stallion Enrollment Fee per year.
 1. Enrollment Fee will be used for promotional and administrative expenses.
 - ii. Stallion owner shall donate one (1) stallion breeding per year to Super Sires OR pay a \$500 No Sale Fee. *See Stallion Service Section for more information.*
 - iii. Stallion MUST be enrolled and pay Living Stallion Enrollment Fee during year of death (or oldest grandfathering option) to be eligible for Deceased Stallion Enrollment Fee.
 - iv. Any grandfathered years prior to year of stallion's death must be paid at corresponding Living Stallion Enrollment Fee.
2. All stallion owners must submit a signed Super Sires Enrollment Contract and a Super Sires Stallion Service Auction Contract **by September 1** of year prior to applicable breeding year to ensure participation of stallion in Super Sires promotions. A Stallion shall not be included in any promotional materials until Contracts are received.
3. Annual stallion **Enrollment Fees or Payment #1 (see item #4) are due by November 1st** of year prior to applicable breeding year to avoid additional fees.
4. Stallion owners may utilize the three (3) monthly payments schedule. Payment schedule shall include a convenience fee of \$25.00 (twenty-five dollars) per payment.
 - a. Payment #1 – \$525.00 - due November 1st of year prior to breeding year
 - b. Payment #2 – \$1,525.00 - due January 15th of breeding year
 - c. Payment #3 – \$1,525.00 - due February 15th of breeding year
5. If stallion Enrollment Fee is not **paid in full by April 15** of breeding year, a \$250 Late Fee shall apply.

Executive Committee:

| | | | | | |
|--------------|--------------|--------------|----------------|------------------|----------------|
| Johne Dobbs | Gil Galyean | Jan Pittman | Joan Schroeder | Stephen Stephens | Christa Conway |
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www.supersires.org

6. Stallion owner must maintain 50% or greater ownership of stallion and/or Frozen Semen.
7. A Stallion owner must fulfill his/her financial commitment. If he/she does not fulfill financial commitment, status of stallion shall be referred to jurisdiction of Super Sires Executive Committee.
8. Enrollment Contracts shall be submitted to Super Sires office for review and final approval.
9. Stallion Enrollment Contracts shall be available on Super Sires website.
10. There shall be no refunds of annual Enrollment Fees.
11. Upon payment of annual stallion Enrollment Fee and sale of breeding through Super Sires Stallion Auction (or payment of "\$500 no sale fee"- See Stallion Service Auction section) all foals conceived during corresponding breeding year shall be eligible for nomination ~~without penalty~~.
12. Stallion Enrollment Fees may be paid by a Third Party Enrollee. Third Party Enrollee must submit a completed Stallion Enrollment Owner Waiver along with Stallion Enrollment Contract. Third Party Enrollee must also pay No Sale Fee for Donated Breeding portion of Enrollment Fee.
 - a. Third Party Enrollee will receive any Stallion Bonus distribution resulting from placing offspring of corresponding Breeding Year.
13. Each Stallion Owner OR Third Party Enrollee shall receive five **WEANLING** nominations and one entry into Super Sires class of choice per year to use at their discretion. Values will be determined by corresponding breeding year fees.
14. A percentage of Enrollment Fees will be allocated to exclusive Breeders Purse classes. (See Breeders Purse Section.)

REIMBURSEMENTS

1. Reimbursement
 - a. All reimbursed expense estimates must be approved by the Executive Committee prior to the Super Sires event.
 - b. A reimbursement form (provided) with itemized expenses must be presented to Executive Committee for approval before reimbursement payment is distributed.

SUPER SIRES STALLION SERVICE AUCTION – SALE OF BREEDINGS AND PROCEEDS

1. All donated stallion breedings (listed above as part of Stallion Enrollment Fee) shall be sold annually through Super Sires Stallion Service Auction for no less than 50% of advertised stud fee plus \$100 Administration Fee.
 - a. Stallion owners shall have first option to purchase this breeding.
 - b. If a breeding does not sell by May 31 of breeding year, stallion owner shall be required to pay a \$500 "No Sale Fee" to Super Sires, LLC.
 - i. No Sale Fee shall be allocated as such: \$400 as stud fee portion; \$100 as Admin Fee.
2. Eighty-five percent (85%) of proceeds from sale of donated stallion breedings shall be used for Stakes Purses (See Stakes Purse Section) and fifteen percent (15%) shall be used for operating expenses.
 - a. Percentage distribution changes are at the discretion of the Executive Committee.

BREEDERS PURSE

1. Breeders Purse Classes shall be funded by corresponding breeding season Stallion Enrollment Fees.
 - a. Example: Offspring produced from the 2021 breeding season and born in 2022 shall be eligible to show as yearlings in 2023 Breeders Purse classes, as two-year-olds in 2024 Breeders Purse classes, and so on. Purse monies for these events shall be funded by the 2021 Stallion Enrollment Fees.
2. Annual Operational Expenses – 2.5% of each Breeders Purse shall be set aside annually and used for operational expenses incurred.
3. Purse Breakdown per year – Super Sires estimated breakdown of Breeders Purses shall distributed annually by age as follows:
 - a. 15% - Yearlings
 - b. 22.5% - 2 Year Olds

- c. 30% - 3 Year Olds
 - d. Remaining % - To be allocated at the discretion of the Executive Committee.
 - e. Example: If 2021 has a total breeding purse of \$100,000, an estimated 15% (\$15,000) shall be allocated to yearling classes during 2023, 22.5% (\$22,500) shall be allocated to 2-year-old classes during 2024, etc.
 - f. Grandfathered stallion fees shall be distributed according to the same rules. As a stallion grandfathers into a breeding year after a portion of the breeding purse has been distributed, the grandfathered stallion's fee shall be added to the existing Breeders Purse balance and distributed as part of that balance or at the discretion of the Super Sires Executive Committee.
 - g. Payout of Breeders Purse monies shall be allocated as follows per event: Eighty percent (80%) shall be apportioned to money earning offspring, ten percent (10%) shall be apportioned to nominators of money earning offspring, and ten percent (10%) shall be apportioned to sires of money earning offspring.
4. Discipline Breakdown per year – Annual Breakdown of purses shall be divided into Western and English classes as follows: 60% to Western classes and 40% to English classes.
 5. Any Breeders Purse money left over or remaining will be appropriated and/or distributed at discretion of Executive Committee to eligible contestants.

FOAL NOMINATION

1. Nomination required for foals to be eligible for entry to any Super Sires class.
2. Nomination forms are available at www.supersires.org or by request.
3. Foal must be sired by a previous or active Super Sire stallion.
 - a. Foal's eligibility into Breeders Purse classes is determined by Sire's enrollment status during foal's year of conception.
4. A copy of registration papers must be on file with Super Sires office.
5. One-time Nomination Fee. (*Fees as of January 1, 2023.*)
 - a. \$150 due before December 31st of foaling year
 - b. OR \$250 due before December 31st of yearling year
 - c. OR \$350 due if paid after December 31st of yearling year through three-year-old year
 - d. OR \$150 due if paid after December 31st of three-year-old year
6. Color Bonus Nomination Fee. (*Fees as of January 1, 2024. Starting with 2024 Breeders Purse/2025 Foals*)
 - a. \$30 due before December 31st of foaling year
 - b. OR \$50 due before December 31st of yearling year
 - c. OR \$70 due if paid after December 31st of yearling year through three-year-old year
 - d. OR \$30 due if paid after December 31st of three-year-old year
7. A Super Sires Digital Eligibility Certificate shall be provided and outline offspring eligibilities at time of nomination.
8. If horse changes ownership, a Super Sires Transfer Form and \$25 Transfer Fee must be submitted to Super Sires prior to horse showing in any Super Sires class.
9. If horse's name is changed, a Super Sires Name Change Form and \$25 Name Change Fee must be submitted to Super Sires prior to horse showing in any Super Sires class.

HORSE ELIGIBILITY

1. Horses must be nominated into the Super Sires program as outlined in the Foal Nomination Section.
2. A horse may only be shown in either the Limited or the Open division per class or event.
3. Horses must present copy of relevant association certificates of registration.
4. Foal must have a copy of color breed registration papers and have paid a Color Bonus Nomination Fee on file to be eligible for any Color Breed Bonuses.

EEXHIBITOR ELIGIBILITY

1. Rider divisions shall be based on each venue's Rules and Regulations and if none, then NSBA Rules and current NSBA rider list shall be applied.
2. Exhibitors must enter division (Limited or Open) in which they are listed as noted above.
3. If there are no entries to fill Open division of a class, Open purse will roll down to Limited division.
4. NSBA ownership rules shall apply, and a copy of the breed association membership card shall be required to enter the Super Sires class.
5. Non-Pro entrants may NOT show a leased horse in a Super Sires event.
6. All horses entering Non-Pro classes must have a Certificate of Registration showing exhibitor's ownership to show office by 5:00 pm EST the day before class OR at the discretion of hosting venues rules/deadlines.
7. All exhibitors and/or owners must be in good standing with all breed associations to participate in Super Sires events.

SUPER SIRES CLASSES

1. A list of classes that may be held at Super Sires events shall be NSBA approved and selected at sole discretion of Super Sires Executive Committee who may elect to add/change/omit classes each year. A list of each year's classes and events shall be posted annually on Super Sires website.

STAKES PURSE CLASSES

1. Super Sires Stakes Purse Classes shall follow Super Sires rules.
2. Stakes Purse Classes shall be funded annually by 85% of Super Sires Stallion Service Auction proceeds or at the discretion of the Executive Committee.
3. Super Sires Stakes Purse Classes shall be open to all nominated horses sired by any Super Sire that was active during any year (from 2013 to present.)
4. Horses eligible to compete in a Super Sires Stakes Purse Class must complete Super Sires nomination form. Super Sires Nomination forms shall be available on Super Sires web site at www.supersires.org.
5. If there are no entries in a Stakes Purse class, any money remaining will be appropriated and/or distributed at discretion of Executive Committee.

PAYOUT

1. Winnings paid to placing entries. Finalists are not considered placing.
2. Distribution of Purses
 - a. Payout addendum based on NSBA percentages.
 - b. If a 1st place amount is guaranteed, the remaining payout addendum shall be based on NSBA percentages.
 - i. In this instance, if 2nd place equals more than the advertised 1st place, monies shall be combined and places shall be paid based on NSBA percentages.
3. Number of placings to be determined by Executive Committee for each event.
4. Open/Limited and Non-Pro Open/Limited payout shall be distributed to divisions according to venue's percentage breakdown.

BONUS PAYOUT ELIGIBILITY

1. "Color Bonus" - NSBA Color horse eligibility rules shall apply. A copy of the color registration papers must be in the office by closing of entries. Horse must be nominated to Color Bonus Program.
2. "Home-Bred Bonus"- Horse must be bred, owned, and shown by the same person or immediate family member. Name must be listed on breed papers as breeder and owner.
3. One person may only win one bonus; next highest eligible person shall receive next bonus.
4. "Stallion Bonus" – Stallion owner or a Third Party Enrollee (see Stallion Enrollment Section) shall receive ten percent (10%) of Breeders Purse as Stallion Bonus for money earned by foals sired by stallion for that year.

CREDITS, REFUNDS AND SUBSTITUTIONS

1. Horses that have a paid an entry fee can be transferred to a new owner/exhibitor prior to the class for a Transfer Fee of \$25; all changes MUST be made by 5:00 pm EST the day before class shows. Forms available on website.

2. In the event of the death of an entered horse, the owner may substitute another eligible horse in its place for a \$75 Substitution Fee.
3. There shall be no refunds of entry fees.

SUPER SIRE OF THE YEAR

1. At the end of each year a Super Sire of the Year shall be recognized from the current year's enrolled stallions.
2. Super Sire of the Year shall be determined by accumulated points earned for each placing offspring at Super Sires events.
3. Point Distribution
 - a. Points shall be given for each entry shown in the class, starting with first place earning points totaling the number of entries, second place earning one less and so on through placed horses, after which each entry is worth one (1) point.
 - b. Points shall accumulate from each Super Sires event.
4. Stallion with the most points shall be named Super Sire of the Year
5. In the event of a tie, money earned shall be the next determining factor.
6. Super Sire of the Year shall be recognized with a complimentary Enrollment Fee (\$3,500 value) for the following breeding year and a trophy.

ONLINE YEARLING SALE

1. Consigned horses must be nominated to Super Sires.
2. Consignees intending to repurchase their consignments are responsible for maintaining the "last-bid" on their consignment.
3. Buyer's Premium
 - a. Consignments with a PAID Buyer's Premium will be eligible for corresponding Sales Graduate classes.
 - b. A Buyer's Premium of 5% of last live bid must be paid for any horse changing hands.
 - c. Owners repurchasing their consigned horse have the option to pay the Buyer's Premium (5% of last live bid.)
4. Buyer's Premiums will fund future Sales Graduate classes.
5. Sales Graduate Classes
 - a. No Entry Fee required for Sales Graduate classes.
 - b. Super Sires Sales Graduate classes will show concurrently within an existing Super Sires class (to be determined annually at the discretion of the Executive Committee.)
 - i. 50% will be paid in 2 Year Old classes
 - ii. 50% will be paid in 3 Year Old classes
 - c. Payout for Sales Graduate classes:
 - i. *If one (1) shows: 1st place – 100%
 - ii. *If two (2) show: 1st place – 60%, 2nd place – 40%
 - iii. If three (3) show: 1st place – 60%, 2nd place – 40%
 - iv. If four (4) show: reverts to NSBA pay scale
 - d. Judges will place twenty (20) horses in Sales Graduate classes.

ONLINE FUTURE STARS AND PROSPECT SALE

1. Open to two (2), three (3) and four (4) year old horses.
2. Consigned horses must be nominated to Super Sires.
3. Consignees intending to repurchase their consignments are responsible for maintaining the "last-bid" on their consignment.
4. Buyer's Premium
 - a. Consignments with a PAID Buyer's Premium will be eligible for corresponding Sales Graduate Buyer's Premium Distribution Program (see below).
 - b. A Buyer's Premium of 5% of last live bid must be paid for any horse changing hands.
 - c. Owners repurchasing their consigned horse have the option to pay the Buyer's Premium (5% of last live bid.)
5. Buyer's Premium Distribution Program

- a. All Buyer's Premium monies collected from the Super Sires Future Stars & Prospect Online Sale annually will be awarded at the annual Super Sires Future Stars & Prospect Online Sale the following year to the Sales Graduates accumulating the most dollar earnings beginning the day after the Super Sires Future Stars & Prospect Online Sale through the following year. All earned monies (recorded by NSBA, Robin Glenn Pedigrees and Equi-Stat) will be totaled. Buyer's Premiums will be separated by age group and the corresponding horses from each age group will be eligible for those Buyer's Premium monies. Payout will be based on NSBA's payout scale with an example below.
6. Buyer's Premium Distribution
 - a. All Buyer's Premium monies will be divided into totals collected by age group.
 - i. Total of 2YO Buyer's Premiums will be paid to 3YOs the following year, etc.
 - b. Buyer's Premium monies will be distributed equally with 50% paid to registered consignor of horse and 50% paid to registered buyer of horse.
 7. EXAMPLE: Buyer's Premium Distribution
 - a. BP's Collected: \$10,000.00 – 2YOs, \$15,000.00 – 3YO, \$5,000.00 - 4YOs
 - b. Sponsor money: \$ 1,666.00 \$ 1,666.00 \$1,666.00
 - c. Total Purse by age: \$11,666.00 \$16,666.00 \$6,666.00
 - d. 4 places paid (Based on NSBA % breakdown)/ 50% to Owner and Consignor
 - i. 1st: \$4,666.40 1st: \$6,666.40 1st: \$2,666.40
 - ii. 2nd: \$3,499.80 2nd: \$4,999.80 2nd: \$1,999.80
 - iii. 3rd: \$2,333.20 3rd: \$3,333.20 3rd: \$1,333.20
 - iv. 4th: \$1,166.00 4th: \$1,666.00 4th: \$. 666.60

MISCELLANEOUS

1. Drug testing may be conducted as available at each venue. Super Sires shall have its classes declared as NSBA classes subject to NSBA approval. NSBA drugging rules, drug testing rules and protocol shall be strictly followed. Drug testing shall be conducted by an authorized representative of NSBA at the NSBA's discretion. If the horse tests positive, any money or prizes must be returned immediately to Super Sires.
2. Additional sanctions may be levied as determined by Super Sires Executive Committee for each ensuing year.
3. All stallion owners are encouraged to sell sponsorships to pay for advertising, website, class awards, etc.
4. A General Liability Insurance Policy shall be taken out for the Super Sires classes and events.
5. Any rules herewith can be amended, added to, or nullified at discretion of Super Sires Executive Committee. Super Sires Committee has final word in interpreting or supplementing rules and conditions.